



Hai Phong, February 12, 2026

No: 11/2026/TT-CKHP

PROPOSAL

***Re: Proposal on the plan to list the shares of Hai Phong Securities
Joint Stock Company***

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Articles of Association of Hai Phong Securities Joint Stock Company;*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

The Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the listing of shares of Hai Phong Securities Joint Stock Company with the following content:

1. Listing all shares of Hai Phong Securities Joint Stock Company (Stock Code: HAC) currently traded on the Upcom trading system for unlisted securities at the Ho Chi Minh City Stock Exchange (HOSE)/Hanoi Stock Exchange (HNX) (after approval by the State management agencies).
2. Authorize/Delegate to the Board of Directors to decide on the selection of either the Ho Chi Minh City Stock Exchange or the Hanoi Stock Exchange for the registration and listing of shares; organize the implementation of all procedures and sign all documents related to the delisting and listing of shares as prescribed, including but not limited to the following tasks:
 - Decision to sign the relevant documents to proceed with the stock listing ;



- Decision to amend, supplement, and explain all contents in accordance with the law related to the posting (including decisions on contents not presented in this submission, if any);
- The decision on the listing price and timing of the stock listing should be in accordance with market conditions and legal regulations;
- Decision on the timing and procedures for delisting Hai Phong Securities Joint Stock Company shares from the Upcom trading system after the listing approval decision at the Stock Exchange;
- To decide on other arising issues to complete the listing of the Company's shares.

The Board of Directors will report on the implementation results at the next Annual General Meeting of Shareholders.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF THE BOARD OF DIRECTORS**



NGUYEN TUAN ANH

