HAI PHONG SECURITIES JOINT STOCK COMPANY	SOCIALIST REPUBLIC OF VIETNAM **Independence – Freedom – Happiness**
No: 12/2026/TT-CKHP	*Hai Phong, January 12, 2026*

PROPOSAL

Re: Proposal on the policy for entering into contracts and transactions between the Company and related parties and the approval of major contracts and transactions

To: Shareholders of Hai Phong Securities Joint Stock Company

Pursuant to:

- *The Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020, and its guiding documents, amendments, and supplements;*
- *The Law on Securities No. 54/2019/QH14 passed by the National Assembly on November 26, 2019, and its guiding documents, amendments, and supplements;*
- *Resolution No. 14.1/2026/NQ-CKHP dated 12/02/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company regarding the implementation and organization of the 2026 Annual General Meeting of Shareholders;*
- *The Charter of Hai Phong Securities Joint Stock Company.*

To be proactive in business operations and ensure the completion of the set plan, the Board of Directors respectfully submits to the 2026 Annual General Meeting of Shareholders for approval of the policy of implementing contracts and transactions in the period of 2026-2027 as follows:

1. Through investment and sale of assets with a value of 35% or more of the total asset value recorded in the Company's most recent financial report.

1.1. Transaction content:

a. Transactions in investing in bonds, certificates of deposit and other valuable papers;

b. Asset sales transactions;

c. Other transactions in accordance with the law.

1.2. Trading partner: According to attached Appendix 01

2. Through the signing and execution of contracts , loan transactions, and asset sales with a value greater than 10% of the total asset value recorded in the most recent financial report between the company and shareholders owning

51% or more of the total number of voting shares or related persons of such shareholders.

2.1. ***Transaction content:***

a. Loan transactions;

b. Asset sales transactions;

c. Other transactions in accordance with the law.

2.2. ***Transaction partner:*** Shareholder owning 51% or more of total voting shares or related person of that shareholder

3. Approval of the execution and performance of contracts and transactions with a value of 35% or more, or transactions that result in the total value of transactions arising within a 12-month period (from the date of the first transaction following the approval of this proposal by the General Meeting of Shareholders) reaching 35% or more of the total asset value recorded in the Company's latest financial statements.

3.1. ***Transactions with Related Parties include but are not limited to :***

a. Transactions of purchase and sale of goods and provision of services;

b. Loan, lending, guarantee, pledge and mortgage transactions based on optimizing cash flow and financial activities of the parties;

c. Transactions in investing in bonds, certificates of deposit and other valuable papers;

d. Other transactions in accordance with the law.

3.2. ***Transaction Counterparties:***

- The Parties involved in the transaction include:

a. Shareholders, authorized representatives of shareholders being organizations owning more than 10% of the total common shares of the company and their related persons;

b. Board members, General Directors, other managers and their related persons;

c. Enterprises whose members of the Board of Directors, General Director and other managers of the Company must declare according to the provisions of Clause 2, Article 164 of the Law on Enterprises 2020.

- In addition to the Related Parties identified as prescribed in Clause 3.2 of this Article in each period, other Related Parties and subjects performing transactions are approved according to the list in Appendix 01 attached.

4. Principles of transaction execution

- Comply with legal regulations and the Company's internal management system.
- Optimize capital efficiency and company business operations.

- Ensure compliance with the specific conditions specified in Sections 1, 2, 3 of this Proposal.
- Control transaction risks while ensuring the Company's interests.
- Comply with reporting regime according to legal regulations and internal regulations of the Company.

5. **Implementation period:** From the effective date of the Resolution of the 2026 Annual General Meeting of Shareholders until the issuance date of the Resolution of the 2027 Annual General Meeting of Shareholders.

6. **Assignment and Delegation to the Company's Board of Directors**

- Approve the above transactions according to the policy approved by the General Meeting of Shareholders in this Proposal.
- Direct and organize the implementation of approved contracts and transactions. The Board of Directors is decentralized and re-authorized in accordance with the law and internal regulations of the Company.

Respectfully submit to the General Meeting of Shareholders for consideration and approval!

Recipient:
- General Shareholders' Meeting
- Archived: A&HR D.

ON BEHAFL OF BOARD OF DIRECTORS
CHAIRMAN OF BOARD OF DIRECTORS

S.G.P: 119 - C.T.C.P
CÔNG TY CỔ PHẦN CHỨNG KHOÁN HẢI PHÒNG
P. GIA VIÊN - T.P HẢI PHÒNG

NGUYEN TUAN ANH

APPENDIX 01: TRANSACTION ENTITIES

No	Trading Partner
1	Vietnam Joint Stock Commercial Bank for Industry and Trade- Vietinbank
2	Bank for Investment and Development of Vietnam- BIDV
3	Vietnam Bank for Agriculture and Rural Development - Agribank
4	Joint Stock Commercial Bank for Foreign Trade of Vietnam -Vietcombank
5	Vietnam Export-Import Commercial Bank - Eximbank
6	Vietnam Thuong Tin Commercial Joint Stock Bank - Vietbank
7	Southeast Asia Commercial Joint Stock Bank- SeABank
8	Viet A Commercial Joint Stock Bank - VAB
9	Vietnam Maritime Commercial Joint Stock Bank - MSB
10	Indovina Bank (Vietnam-Italy Joint Venture Bank) - Indovina
11	Vietnam Technological and Commercial Joint Stock Bank - Techcombank
12	National Citizen Commercial Joint Stock Bank - NCB
13	Nam A Commercial Joint Stock Bank – NAB
14	An Binh Commercial Joint Stock Bank– ABBank
15	Ho Chi Minh City Development Joint Stock Commercial Bank– HDBank
16	Viet Capital Commercial Joint Stock Bank - BVBank
17	Bao Viet Commercial Joint Stock Bank – BaoVietBank
18	Fortune Vietnam Commercial Joint Stock Bank – LPB
19	Saigon – Hanoi Commercial Joint Stock Bank – SHB
20	Military Commercial Joint Stock Bank – MB Bank
21	Saigon Thuong Tin Commercial Bank – Sacombank
22	Tien Phong Commercial Joint Stock Bank – TPBank
23	First Commercial Bank (Taiwan) – FCB Taiwan
24	E.Sun Commercial Bank (Taiwan) – Esun
25	Vietnam Prosperity Joint Stock Commercial Bank – VPB
26	Vietnam International Commercial Joint Stock Bank – VIB
27	Orient Commercial Joint Stock Bank – OCB
28	Asia Commercial Joint Stock Bank – ACB
29	Prosperity & Growth Bank (PG Bank) – PGBank

30	Modern Vietnam Limited-Company Bank – MV Bank
31	Kien Long Commercial Joint Stock Bank - KLB
32	Thien Viet Securities Joint Stock Company
33	Nhat Viet Securities Joint Stock Company
34	Electricity Finance Corporation – EVNFinance
35	VPBank Finance Company Limited - FE Credit
36	MB Shinsei Finance Limited Liability Company
37	Home Credit Vietnam Finance Company Limited
38	VietCredit Finance Joint Stock Company
39	HD Saison Finance Co., Ltd
40	VietinBank Securities Joint Stock Company
41	Vietcombank Securities Joint Stock Company
42	BSC Securities Joint Stock Company
43	And banks, securities companies, financial institutions, and other entities