



Hai Phong, February 12th, 2026

No.: 03/2026/BC-CKHP

**REPORT ON THE ACTIVITIES OF THE AUDIT COMMITTEE AND THE
INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS SERVING
ON THE AUDIT COMMITTEE IN 2025**

To: Shareholders of Hai Phong Securities Joint Stock Company

Based on the duties and powers of independent members of the Board of Directors ("BOD") and the Audit Committee as stipulated in the Charter of Hai Phong Securities Joint Stock Company ("Company/HASECO"), and the Regulations on the Operation of the Audit Committee ("ABC"), the independent member of the Board of Directors hereby reports to the General Meeting of Shareholders ("GSH") of Hai Phong Securities Joint Stock Company on the performance of the ABC and the independent member of the BOD within the ABC as follows:

I. Activities of the Audit Committee and independent board members on the Audit Committee in 2025

1. Personnel of the Inspection Committee

The current Inspection Committee of HASECO for the 2021-2026 term includes the following members:

No.	Full name	Position
1	Mr. Nguyen Tuan Anh	Chairman of the Inspection Committee
2	Mr. Dao Le Huy	Member of the Inspection Committee

2. Meetings of the Inspection Committee

Audit Committee Meetings:

No.	Content	Day	Result
1	The relevant matters fall under the jurisdiction of the Inspection Committee. - The company's business performance results for the first nine months of 2025.	October 16, 2025	Through 100%

	<ul style="list-style-type: none"> - An evaluation of the company's accounting practices. - Evaluate the performance of the Board of Directors (“BOD”) and the General Management Board (“GMB”) in operational management. - Other matters fall under the jurisdiction of the Inspection Committee. 		
2	<p>The relevant matters fall under the jurisdiction of the Inspection Committee.</p> <ul style="list-style-type: none"> - An evaluation of the company's accounting practices. - Evaluate the performance of the Board of Directors and the General Management Board in the operation of the company. - Other matters fall under the jurisdiction of the Inspection Committee. 	December 31, 2025	Through 100%

3. Remuneration, operating expenses, and benefits of the audit committee.

Board members who are also members of the Supervisory Committee receive remuneration based on their position as a Board Member. The remuneration for Board Members is detailed in the Company's audited financial statements for 2024.

4. This report assesses transactions between the Company, its subsidiaries, or companies in which the public company holds a controlling stake of 50% or more of the charter capital, and members of the Board of Directors, General Director, other executives of the enterprise, and related parties of those entities; and transactions between the Company and other companies in which members of the Board of Directors, General Director, or other executives of the enterprise are founding members or managers of the enterprise during the three years preceding the transaction.

Do not have.

5. Self-assessment report on the performance of independent members of the Board of Directors.

In 2025, the independent members of HASECO's Board of Directors fully performed their roles and responsibilities as stipulated by law, the Company Charter, and internal governance regulations, ensuring transparency, objectivity, and a balance of interests for shareholders and stakeholders.

Independent members of the Board of Directors fully participate in all Board meetings, review documents, and provide independent input on important issues, particularly development strategy, business plans, risk management, and transactions that may involve conflicts of interest.

II. Results of monitoring the Company's financial statements, operational performance, and financial situation:

In 2025, the Audit Committee fully performed its supervisory functions as stipulated by law, the Company Charter, and the Audit Committee's operating regulations, focusing on matters related to the Company's financial statements, operational performance, and financial situation.

Regarding the preparation and publication of financial statements, the Audit Committee has overseen the preparation of the Company's periodic financial statements and the 2025 annual financial statements, ensuring that the preparation and presentation of financial statements are in accordance with Vietnamese accounting standards, the corporate accounting system, and relevant legal regulations. The financial statements are prepared fully, truthfully, and reasonably, accurately reflecting the Company's financial situation, operating results, and cash flows during the reporting period. Based on this, the Audit Committee unanimously assesses that the Company's 2025 financial statements have been prepared and audited in accordance with legal regulations, and no material misstatements affecting the truthfulness and reasonableness of the financial statements have been detected.

Regarding its operational status, the Company's activities in 2024 complied with all legal regulations and its Articles of Association. The Company adhered well to regulations on information disclosure for securities companies and is also a company registered for trading on the Hanoi Stock Exchange.

Regarding the financial situation, the company's total revenue reached VND 86.31 billion, an increase of 33.52% compared to 2024 and exceeding the planned target by more than 11%. Net profit after tax in 2025 reached VND 44.89 billion, an increase of more than 16 times compared to 2024 and achieving 98.44% of the planned target.

III. Results of monitoring the internal control system, risk management, and legal compliance:

Regarding the internal control system, the Audit Committee has overseen the establishment, operation, and maintenance of the internal control system within the

Company. Through this oversight, the Audit Committee found that the Company's internal control system is appropriately established to the scale and specific characteristics of a securities company's operations, and that the internal procedures and regulations issued are relatively complete and strictly implemented in practice.

Regarding risk management, the Audit Committee has monitored and supervised the identification, assessment, and control of risks arising in the Company's operations, particularly those related to securities trading, finance, accounting, and legal compliance. The Company has implemented appropriate risk management measures, ensuring financial safety and stable, continuous operation.

Regarding legal compliance, the Audit Committee monitored the Company's adherence to regulations on securities and the securities market, the Enterprise Law, implementing guidelines, and internal regulations. The monitoring results showed that in 2025, the Company fully complied with current legal regulations, with no material violations affecting its operations and reputation.

Based on the monitoring results, the Audit Committee assessed that in 2025, the Company's financial reporting and disclosure, internal control system, risk management, and legal compliance were fully implemented, in accordance with regulations, and consistent with the requirements of public company governance.

IV. The Audit Committee's oversight activities over the Board of Directors, the General Management Board, and shareholders.

In 2025, the Audit Committee performed its supervisory function over the activities of the Board of Directors and the General Management Board in accordance with the law, the Company Charter, and the resolutions of the General Meeting of Shareholders.

The Audit Committee has overseen the management, operation, and implementation of the 2025 operational and profit plans; and has monitored the implementation of resolutions of the General Shareholders' Meeting and the Board of Directors, ensuring that the Company's activities are carried out in accordance with the established guidelines and legal regulations.

In addition, the Audit Committee oversees the issuance of resolutions and decisions by the Board of Directors and the General Management Board, ensuring they are within the proper authority, follow the correct procedures, and are consistent with the Company's Charter and internal regulations.

The Audit Committee also oversees the Company's financial, accounting, and financial reporting activities; reviews the interim financial statements and the 2025 annual financial statements, ensuring their legality, reasonableness, accuracy, and prudence as required.

In addition, the Audit Committee has monitored the operational situation at the Head Office and its subsidiaries, thereby assessing compliance with internal regulations

and relevant laws, contributing to ensuring the legitimate rights and interests of shareholders.

V. Coordination of activities between the Audit Committee and the Board of Directors, the General Management Board, management staff, and shareholders.

In 2025, the Audit Committee worked closely with the Board of Directors, the Executive Management Board, relevant managers, and shareholders within the framework of its assigned functions and duties.

The Audit Committee is invited to attend several meetings of the Board of Directors, providing input on matters within its oversight scope, thereby contributing to improving the effectiveness of the Company's governance and operations.

The Board of Directors and functional departments have facilitated and provided complete and timely information and documents necessary for the Audit Committee's supervisory activities. Throughout the performance of its duties, the Audit Committee has consistently collaborated effectively with members of the Board of Directors, the Executive Board, and relevant management personnel, ensuring the proper fulfillment of its supervisory functions as stipulated.

The Board of Directors, the Audit Committee, and the General Management Board operate independently according to their functions, but always maintain close coordination, fully exercising their rights and obligations with a sense of responsibility, honesty, and diligence, for the legitimate and long-term interests of the Company and its shareholders, while complying with the Company's Charter, internal regulations, and applicable laws.

Regarding shareholders, in 2025, the Audit Committee did not receive any suggestions or complaints from shareholders in accordance with the Company's Articles of Association and applicable laws.

VI. Projected operational plan for 2026

In 2026, the Audit Committee plans to focus on the following key tasks:

- To examine the reasonableness, legality, honesty, and degree of prudence in the management and operation of the company's business and in the preparation of its financial statements.
- Review quarterly financial statements, annual financial statements, and business performance reports of the Company; check compliance with accounting standards in reporting periods and reconcile data when necessary.
- Review accounting books, vouchers, and documents related to financial and accounting matters whenever deemed necessary, or at the request of the General Meeting of Shareholders, the Board of Directors, or shareholders/groups of shareholders as stipulated.

- Examine and report on the issues that require examination, ensuring that objective and complete information is provided to the Board of Directors and shareholders.
- Participate in providing feedback to improve the company's governance system, including regulations, procedures, and operating mechanisms, in order to enhance transparency, efficiency, and conformity with best practices for publicly traded companies.
- Fully exercise all rights and fulfill all obligations as stipulated in the Enterprise Law, the Securities Law, the Company Charter, and the resolutions of the General Meeting of Shareholders.

The above is the content of the Report on the activities of the Audit Committee and independent members of the Board of Directors in the Audit Committee for the year 2025 of Hai Phong Securities Joint Stock Company. Respectfully submitted to the General Meeting of Shareholders!

Best regards./.

Recipient:

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHALF OF THE AUDIT COMMITTEE
CHAIRMAN OF THE AUDIT COMMITTEE**

