



Hai Phong, February 12, 2026

No: 06/2026/TT-CKHP

**PROPOSAL**

***Re: Proposal on the selection of the auditor for the Financial  
Statements for the year 2026***

***To: Shareholders of Hai Phong Securities Joint Stock Company***

**Pursuant to:**

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *The 2025 financial report of Hai Phong Securities Joint Stock Company has been audited by BDO Auditing Company Limited.*
- *Resolution No. 14.1/2026/NQ-CKHP dated February 12, 2026, of the Board of Directors of Hai Phong Securities Joint Stock Company on the Implementation of the 2026 Annual General Meeting of Shareholders.*

To ensure compliance with accounting standards and the principles of transparency and openness in the Company's financial statements, the Board of Directors of Hai Phong Securities Joint Stock Company (BOD) respectfully submits to the General Meeting of Shareholders for consideration and approval the selection of an auditing and review firm for the Company's financial statements for the year 2026 as follows:

**I. Criteria for selecting an auditing firm:**

We propose that the General Meeting of Shareholders approve the criteria for selecting the auditing firm:

- As an independent auditing firm with functions as prescribed by law and approved by the State Securities Commission to audit public interest entities in the securities sector in 2026;
- Meeting the Company's requirements regarding the scope, schedule, and quality of the audit;
- The team of auditors is highly qualified and experienced;



- The audit fee is determined based on the quality of the audit and the scope of the audit, taking into account the fee quotations submitted.

## II. Proposed audit firm

The Board of Directors respectfully submits to the General Meeting of Shareholders for approval the criteria for selecting an auditing firm and authorizes the Board of Directors to select an independent auditing firm. This firm will conduct the audit and review of the 2026 financial statements and perform operational checks of the company (if any), meeting the above criteria, ensuring quality, efficiency, and the most appropriate cost.

Simultaneously, the General Meeting of Shareholders authorized the Board of Directors to select a replacement auditing firm in the event that the selected annual auditing firm ceases to review and audit the Company's financial statements, in order to ensure that the interim reviewed financial statements and the annual audited financial statements are published fully and on time as required by law. The replacement auditing firm must also meet all the criteria stated in Section 1.

We respectfully submit this to the General Meeting of Shareholders for consideration and approval.

Best regards./.

**Recipient:**

- General Shareholders' Meeting;
- Archived: A&HR D.

**ON BEHAFL OF BOARD OF DIRECTORS  
CHAIRMAN OF THE BOARD OF DIRECTORS**



**NGUYEN TUAN ANH**

