



*Hai Phong, March 03, 2026*

No: 16/2026/TT-CKHP

**AUDITED REPORT ON THE UTILIZATION OF PROCEEDS FROM THE  
2026 PRIVATE PLACEMENT OF SHARES**

***To: Shareholders of Hai Phong Securities Joint Stock Company***

**Pursuant to:**

- *Law on Enterprises No. 59/2020/QH14 dated June 17, 2020 of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Law on Securities No. 54/2019/QH14 dated November 26, 2019, of the National Assembly of the Socialist Republic of Vietnam and guiding documents for its implementation, amendment, and supplementation;*
- *Circular 121/2020/TT-BTC dated December 31, 2020, issued by the Ministry of Finance, regulates the operations of securities companies.*
- *Government Decree 155/2020/ND-CP dated December 31, 2020, provides detailed regulations for the implementation of several articles of the Securities Law;*
- *Government Decree 245/2025/ND-CP dated September 11, 2025, amends and supplements several articles of Decree 155/2020/ND-CP;*
- *The equity statement for the financial period from July 1, 2025 to January 27, 2026 has been audited by BDO Audit Company Limited.*
- *Resolution No. 18/2026/NQ-CKHP dated 03/03/2026 of the Board of Directors of Hai Phong Securities Joint Stock Company on the adjustment and supplementation of documents for the 2026 Annual General Meeting of Shareholders..*

Pursuant to the provisions of the Securities Law regarding reporting and disclosure of information on the use of capital and proceeds from the offering, the Board of Directors hereby publishes the audited Report on the Use of Capital Produced from the Company's 2026 Private Placement of Shares.

Audited report on the utilization of proceeds have been posted on the Company's website at the following link: <https://haseco.vn/> under the Information Disclosure section.

Best regards./.

***Recipient:***

- *General Shareholders' Meeting;*
- *Archived: A&HR D.*

**ON BEHAFL OF BOARD OF DIRECTORS  
CHAIRMAN OF THE BOARD OF  
DIRECTORS**



**NGUYEN TUAN ANH**